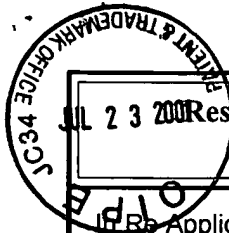


SECTOR



Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity)	Docket No. PLUG-0037-US (499)
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In Re Application Of: Russel H. Marvin, et al.

Serial No. 09/823,263	Filing Date 3/30/01	Examiner N/A	Group Art Unit 2838
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Invention: Fuel Cell System Having an Energy Source Backup

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

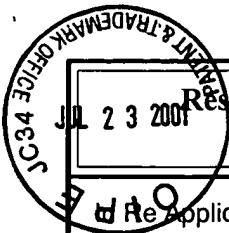
Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
5/17/01
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Recordation Form Cover Sheet and Assignment Transmittal of Formal Drawings 4 Sheets of Formal Drawings
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**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
PLUG-0037-US (499)

Re Application Of: **Russel H. Marvin, et al.**

Serial No.	Filing Date	Examiner	Group Art Unit
09/823,263	3/30/01	N/A	2838

Invention: **Fuel Cell System Having an Energy Source Backup**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:
Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

- | | |
|---|-----------------------------|
| <input checked="" type="checkbox"/> Utility application filing fee | <u>\$355.00</u> |
| <input type="checkbox"/> Design application filing fee | <u> </u> |
| <input checked="" type="checkbox"/> Total number of independent claims = <u>4</u> | <u>\$40.00</u> |
| <input checked="" type="checkbox"/> Total number of claims = <u>19</u> | <u> </u> |
| <input type="checkbox"/> Multiple dependent claims | <u> </u> |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$65.00</u> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | <u> </u> |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | <u> </u> |
| <input type="checkbox"/> Fee for processing and retention of application | <u> </u> |

Total completion of application fees \$460.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: until:
Date *Date*

Total time extension fees

Total fees due \$460.00



**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

**Docket No.
PLUG-0037-US (499)**

In Re Application Of: **Russel H. Marvin, et al.**

Serial No.	Filing Date	Examiner	Group Art Unit
09/823,263	3/30/01	N/A	2838

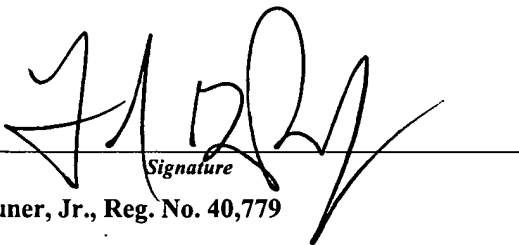
Invention: **Fuel Cell System Having an Energy Source Backup**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$460.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **20-1504**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **20-1504**
A duplicate copy of this sheet is enclosed.


Signature

Fred G. Pruner, Jr., Reg. No. 40,779


Dated: **7/17/01**



21906

PATENT TRADEMARK OFFICE

CC:

I certify that this document and fee is being deposited on 7/17/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
 Signature of Person Mailing Correspondence	
Corey McGowan Typed or Printed Name of Person Mailing Correspondence	

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,263	03/30/2001	Russel H. Marvin	PLUG-0037-US(499)

CONFIRMATION NO. 7257

FORMALITIES LETTER



OC000000006087311

Trop, Pruner & Hu, P.C.
Suite 100
8554 Katy Freeway
Houston, TX 77024

Date Mailed: 05/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

TOE270 F02E2000

07/25/2001 09823263 00000141 09823263

07/25/2001

355.00 BP
65.00 BP
40.00 BP

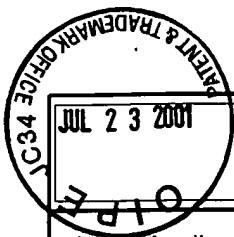
04 FC 2001
02 FC 2005
03 FC 2009

FD

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Figure 1 illustrates the experimental setup. A subject is seated at a table, looking at a video screen. A video camera is positioned above the screen, and a light source is positioned to the left of the screen. A scale bar is shown below the screen. The diagram is labeled with 'Subject', 'Video Screen', 'Video Camera', 'Light Source', and 'Scale Bar'.



S. Stevenson
#24 10-18-01

TRANSMITTAL OF FORMAL DRAWINGS

Docket No.
PLUG-0037-US (499)

In Re Application Of: Russel H. Marvin, et al.

Serial No.	Filing Date	Batch No.	Examiner	Art Unit
09/823,263	3/30/01	N/A	N/A	2838

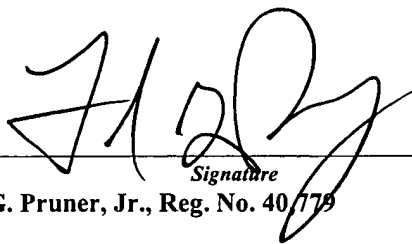
Invention: Fuel Cell System Having an Energy Source Backup

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith are:


4 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.


Signature
Fred G. Pruner, Jr., Reg. No. 40/779

Dated: 7/17/01

I certify that this document and attached formal drawings are being deposited on 7/17/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

C rey McG wan

Typed or Printed Name of Person Mailing Correspondence